

MAT-5340US3

PATENT  
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: H. Takeda et al.

: Art Unit:

Serial No.: To Be Assigned

: Examiner:

Filed: Herewith

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FOR: DATA TRANSMITTING APPARATUS, DATA  
RECEIVING APPARATUS AND DATA  
TRANSMISSION CONTROL APPARATUS

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JC972 U.S. PTO  
09/997537



#2  
12/19/01  
JP

**Continuation of:**

Applicant: H. Takeda et al.

: Art Unit: 2732

Serial No.: 09/586,915

: Examiner: R. Pizarro

Filed: June 5, 2000

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FOR: DATA TRANSMITTING APPARATUS,  
DATA RECEIVING APPARATUS AND DATA  
TRANSMISSION CONTROL APPARATUS

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**INFORMATION DISCLOSURE STATEMENT**

Assistant Commissioner for Patents

Washington, D.C. 20231

S I R :

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO 1449 (R&P) submitted herewith.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

Under 37 C.F.R. § 1.98(d), copies of the patents and publications listed on the enclosed PTO Form 1449 are not required to be provided, because

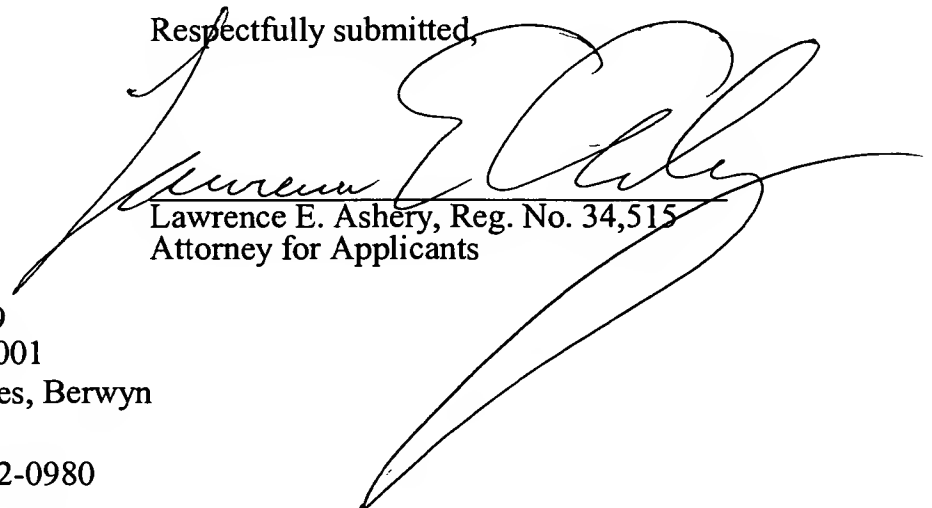
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they were cited by or submitted to the Patent and Trademark Office in prior application Serial Nos. 08/945,629, filed April 23, 1998 and 09/311,671, filed May 13, 1999, which is relied upon for an earlier filing date under 35 U.S.C. § 120.

This information disclosure statement is being filed with the above-referenced application. No fee or certification is required. 37 C.F.R. § 1.97(b).

Respectfully submitted,



Lawrence E. Ashery, Reg. No. 34,515  
Attorney for Applicants

Encls.: PTO Form 1449  
Dated: November 29, 2001  
Suite 301, One Westlakes, Berwyn  
P.O. Box 980  
Valley Forge, PA 19482-0980  
(610) 407-0700

The Assistant Commissioner for Patents is hereby authorized to charge payment to Deposit Account No. 18-0350 of any fees associated with this communication.

**EXPRESS MAIL** Mailing Label Number: EV 015863594 US  
Date of Deposit: November 29, 2001

I hereby certify that this paper and fee are being deposited, under 37 C.F.R. § 1.10 and with sufficient postage, using the "Express Mail Post Office to Addressee" service of the United States Postal Service on the date indicated above and that the deposit is addressed to the (Box Patent Application), U.S. Patent and Trademark Office, P.O. Box 2327, Arlington, VA 22202



Kathleen Libby

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE (Rev. 2-32) PATENT AND TRADEMARK OFFICE  <b>Information Disclosure          Statement by Applicant</b>  (Use several sheets if necessary)	ATTY. DOCKET NO.	SERIAL NO
	MAT-5340US3	To Be Assigned
	APPLICANT H. Takeda et al.	
	FILING DATE Herwith	GROUP

JC972 U.S. PTO

09/997537

11/29/01

## U.S. PATENT DOCUMENTS

Exmr Initial	Document Number	Date	Name	Class	Sub Class	Filing Date
	4,635,121	01/06/87	Hoffman et al.			
	5,367,523	11/22/94	Chang et al.			
	5,689,244	11/18/97	Iijima			
	5,724,517	3/3/98	Cook			
	5,646,941	7/8/97	Nishimura			
	5,535,208	7/9/96	Kawakami			
	5,907,556	5/25/99	Hinsanga et al.			
	5,991,307	11/23/99	Komuro et al.			

## FOREIGN PATENT DOCUMENTS

Exmr Initial	Document Number	Date	Country	Class	Sub Class	Translation YES   NO
	7-38579	2/7/95	Japan (with English abstract)			
	5-122238	5/18/93	Japan (with English abstract)			
	6-46394	2/18/94	Japan (with English abstract)			
	2-146846	6/6/90	Japan (with English abstract)			
	8-18581	1/19/96	Japan (with English abstract)			
	7-74768	3/17/95	Japan (with English abstract)			
	63-191436	8/8/88	Japan (with English abstract)			
	8-23584	1/23/96	Japan (with English abstract)			
	7-222263	8/18/95	Japan (with English abstract)			
	0668698	02/15/95	EPO			X
	0191149	11/16/85	EPO			X
	2126002	03/14/84	Great Britain			X
	3616743	11/19/87	Germany			X
	7-203323	08/04/95	Japan (abstract only)			X
	4-284080	10/08/92	Japan (abstract only)			X
	632671	01/04/95	EPO			
	637153	02/01/95	EPO			
	448073	09/25/91	EPO			
	413488	02/02/91	EPO			
	468802	01/29/92	EPO			

## OTHER DOCUMENTS

(Including Author, Title, Date, Pertinent Pages, Etc.)

		Japanese language search report for International Appln. No. PCT/JP96/01123
		Kung H.T. et al: "CREDIT-BASED FLOW CONTROL FOR ATM NETWORKS" IEEE Network: The

Examiner	Date Considered
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Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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	APPLICANT H. Takeda et al.	
	FILING DATE Herwith	GROUP

		European Search Report dated September 23, 1999, application no. 969122316.
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Examiner	Date Considered
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Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.